# PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q95277

Jinichiro KOGA, et al

Appln. No.: National Stage of PCT/JP2004/015733

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: June 5, 2006 Examiner: Unknown

For: ENDOGLUCANASE STCE AND CELLULASE PREPARATION CONTAINING THE

**SAME** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2004/015733 dated December 14, 2004), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for WO 98/03667, WO 98/11239, and WO 98/03640. Furthermore WO 98/03667, WO 98/11239, WO 98/03640, WO 00/24879, and WO 01/90375 corresponds to U.S. 6,403,362, 6,277,596, 6,159,720, U.S. 6,001, 639, U.S. 6,387,690, U.S. 2003/0054539, EP 0 112 397, and EP 0 129 143. Additionally WO 00/24879 and WO 01/90375 are cited and discussed on page 2 of the specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

## PROMOCOPETIPTO 05 JUN 2006

INFORMATION DISCLOSURE STATEMENT Appln. No.: National Stage of PCT/JP2004/015733 Attorney Docket No. Q95277

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 26,577

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: June 5, 2006

## IAP20 Rec'd PCT/PTO 05 JUN 2006

Q95277

MODIFIED PTO/SB/08 A & B (08-03) - 3

Substitute for Form 1449 A & B/PTO

Application Number C1/JP26047015753

Application Number C1/JP26047015753

Confirmation Number Unknown

Filing Date June 5, 2006

First Named Inventor Jinichiro KOGA

Art Unit Unknown

Examiner Name

Attorney Docket Number

Sheet

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document N	umber	Publication Date MM-DD-YYYY				
		Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Documen			
		US 6,403,362	B1	06/11/2002	TATSUKI MORIYA, ET AL			
Ţ		US 6,277,596	Bl	08/21/2001	MANABU WATANABE, ET AL			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	11-502701	A	03/09/1999	NOVO NORDISK A/S	
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		EP	0 307 564	A2	03/22/1989	ECOLAB INC.	
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Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		MAZUZO NAGAYAMA, "MECHANISM OF THE ACTION OF DETERGENT BUILDERS IN WASHING PROCESS", FRAGRANCE JOURNAL, 1995, Vol. 11, Pages 33-55			
		S.D. MANSFIELD, ET AL, "CHARACTERIZATION OF ENDOGLUCANASES FROM THE BROWN ROT FUNGI GLOEOPHYLLUM SEPIARIUM AND GLOEOPHYLLUM TRABEUM", ENZYME MICROBIAL TECHNOLOGY, Vol. 23, 1998, Pages 133-140			

Examiner Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

# IAP20 Rec'd PCT/PTO 05 JUN 2006 MODIFIED PTO/SB/08 A & B (08-03)

				Complete if Known			
Substitute for Form 1449 A				Application Number	National State 5 8 1 / 1 / PCT/JP2004/015733		
INFORM	MATION DISC	LOS	SURE	Confirmation Number	Unknown		
STATE	MENT BY APP	<u> LIC</u>	ANT	Filing Date	June 5, 2006		
	a manu ahaata aa na	22222		First Named Inventor	Jinichiro KOGA		
(use a.	s many sheets as ne	cessui	ry)	Art Unit	Unknown		
				Examiner Name			
Sheet	2	of	2	Attorney Docket Number	Q95277		

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document	Number	Publication Date				
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
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Examiner Initials*	Cite No. <sup>1</sup>	Cite Foreign Patent Document			Publication Date	Name of Patentee or			
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>		
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Examiner Signature	Date Conside	ered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.